# Notification in accordance with section 26 paragraph 1 WpHG with the objective of Europe-wide distribution

We have received the following notifications of voting rights pursuant to paragraph 25a of the German Securities Trading Act (WpHG) on November 11, 2015:

Notification pursuant to paragraph 25a WpHG (financial instruments)

### 1. Listed company:

ADVA Optical Networking SE Maerzenguelle 1-3, 98617 Meiningen-Dreissigacker, Germany

#### 2. Notifier:

UBS Group AG, Zurich, Switzerland

3. Triggering event: Falling below threshold due to acquisition/disposal

4. Threshold (s) crossed or reached: 5%

**5. Date at which the threshold is crossed or reached:** November 6, 2015

## 6. Voting rights:

Total amount: 0.00% (equals: 0 voting rights)

calculated from the following total number of voting rights issued: 48981307

## 7. Detailed information on the voting rights proportion:

- (Financial/other) instruments purs. to sec. 25a WpHG: 0.00% (equals: 0 voting rights) thereof held indirectly: 0.00% (equals: 0 voting rights)
- (Financial/other) instruments purs. to sec. 25 WpHG: 4.45% (equals: 2180208 voting rights) thereof held indirectly: 4.45% (equals: 2180208 voting rights)
- Voting rights purs. to sec. 21, 22 WpHG: 0.46% (equals: 223994 voting rights)

## 8. Detailed information on financial/other instruments:

Chain of controlled undertakings:

ISIN or name/description of (financial/other) instruments:

Expiration date:

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### 6. Voting rights:

Total amount: 0.00% (equals: 0 voting rights) calculated from the following total number of voting rights issued: 48981307

### 7. Detailed information on the voting rights proportion:

- (Financial/other) instruments purs. to sec. 25a WpHG: 0.00% (equals: 0 voting rights) thereof held indirectly: 0.00% (equals: 0 voting rights)
- (Financial/other) instruments purs. to sec. 25 WpHG: 4.45% (equals: 2180208 voting rights) thereof held indirectly: 3.54% (equals: 1732882 voting rights)
- Voting rights purs. to sec. 21, 22 WpHG: 0.46% (equals: 223994 voting rights)

## 8. Detailed information on financial/other instruments:

Chain of controlled undertakings: ISIN or name/description of (financial/other) instruments: Expiration date:

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