Notification in accordance with section 26 paragraph 1 WpHG with the objective of Europe-wide distribution

We have received the following notifications of voting rights pursuant to paragraph 25 of the German Securities Trading Act (WpHG) on November 20, 2015:

Notification pursuant to paragraph 25 WpHG (financial instruments)

1. Listed company:

ADVA Optical Networking SE Maerzenquelle 1-3, 98617 Meiningen-Dreissigacker, Germany

2. Notifier:

UBS Group AG, Zurich, Switzerland

3. Triggering event: Falling below threshold due to acquisition/disposal

4. Threshold (s) crossed or reached: 5%

5. Date at which the threshold is crossed or reached: November 16, 2015

6. Voting rights:

Total amount: 4.46% (equals: 2185615 voting rights) calculated from the following total number of voting rights issued: 48981307

7. Detailed information on the voting rights proportion:

- (Financial/other) instruments purs. to sec. 25 WpHG: 4.01% (equals: 1965806 voting rights) thereof held indirectly: 4.01% (equals: 1965806 voting rights)
- Voting rights purs. to sec. 21, 22 WpHG: 0.45% (equals: 219809 voting rights)

8. Detailed information on financial/other instruments:

Chain of controlled undertakings: UBS AG, UBS Switzerland AG Exercise period: anytime

Notification in accordance with section 26 paragraph 1 WpHG with the objective of Europe-wide distribution

We have received the following notifications of voting rights pursuant to paragraph 25 of the German Securities Trading Act (WpHG) on November 20, 2015:

Notification pursuant to paragraph 25 WpHG (financial instruments)

1. Listed company:

ADVA Optical Networking SE Maerzenquelle 1-3, 98617 Meiningen-Dreissigacker, Germany

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3. Triggering event: Falling below threshold due to acquisition/disposal

- 4. Threshold (s) crossed or reached: 5%
- 5. Date at which the threshold is crossed or reached: November 16, 2015

6. Voting rights:

Total amount: 4.46% (equals: 2185615 voting rights) calculated from the following total number of voting rights issued: 48981307

7. Detailed information on the voting rights proportion:

- (Financial/other) instruments purs. to sec. 25 WpHG: 4.01% (equals: 1965806 voting rights) thereof held indirectly: 2.24% (equals: 1099528 voting rights)
- Voting rights purs. to sec. 21, 22 WpHG: 0.45% (equals: 219809 voting rights)

8. Detailed information on financial/other instruments:

Chain of controlled undertakings: UBS Switzerland AG

Exercise period: anytime

ADVA Optical Networking SE

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