

X Notification of Major Holdings

or

☐ **Correction** of a notification of Major Holding published on (date of publication)**1. Details of issuer** (name, address)

ADVA Optical Networking SE, Märzenquelle 1-3, 98617 Meiningen-Dreißigacker, Germany

2. Reason for notification (multiple reasons possible)

Acquisition/disposal of shares with voting rights

X Acquisition/disposal of instruments

Change of breakdown of voting rights

Other reason:

3. Details of person subject to the notification obligation

Name:

Morgan Stanley

City and country of registered office (if applicable):

Wilmington, Delaware, USA

4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3.**5. Date on which threshold was crossed or reached:** 11.01.2016**6. Total positions**

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	total of both in % (7.a. + 7.b.)	total number of voting rights of issuer
Resulting situation	1.37%	0.26%	1.63%	49,374,484
Previous notification (if applicable)	1.37%	5.56%	6.93%	

7. Notified details of the resulting situation				
a. Voting rights attached to shares (Sec.s 21, 22 WpHG)				
ISIN	absolute		in %	
	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)
DE0005103006	0	677,669	0%	1.37%
			%	%
Total	677,669		1.37%	

b.1. Instruments according to Sec. 25 Abs. 1 Nr. 1 WpHG (please use annex in case of more than 3 instruments)				
Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Right of recall over securities lending agreements	at any time	at any time	129,337	0.26%
				%
				%
Total			129,337	0.26%

b.2 Instruments according to Sec. 25 Abs. 1 Nr. 2 WpHG (please use annex in case of more than 3 instruments)					
Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %

8. Information in relation to the person subject to the notification obligation (please tick the applicable box):			
<p>Person subject to the notification obligation is not controlled <u>and</u> does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).</p> <p>X <u>Full</u> chain of controlled undertakings starting with the ultimate controlling natural person or legal entity (please use annex in case of more than 4 undertakings; in this case please <u>always</u> provide <u>only</u> to BaFin also an organizational chart accompanying your notification):</p>			
Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
Morgan Stanley			
Morgan Stanley Capital Management, LLC			
Morgan Stanley Domestic Holdings, Inc.			
Morgan Stanley & Co. LLC			

Morgan Stanley			
MSDW Offshore Equity Services Inc.			
Fundlogic SAS			
Morgan Stanley			
Morgan Stanley Capital Management, LLC			
Morgan Stanley Domestic Holdings, Inc.			
Morgan Stanley Smith Barney Holdings LLC			
Morgan Stanley Smith Barney LLC			

9. In case of proxy voting according to § 22 Abs. 3 WpHG

Date of general meeting:

Holding position after general meeting: % (equals voting rights)