# X Notification of Major Holdings or Correction of a notification of Major Holding published on (date of publication)

1. Details of issuer (name, address)

ADVA Optical Networking SE, Märzenquelle 1-3, 98617 Meiningen-Dreißigacker, Germany

- **2. Reason for notification** (multiple reasons possible)
- X Acquisition/disposal of shares with voting rights

Acquisition/disposal of instruments

Change of breakdown of voting rights

Other reason:

#### 3. Details of person subject to the notification obligation

Name: City and country of registered office (if applicable):

Ministry of Finance on behalf of the Oslo, Norway

State of Norway

**4. Names of shareholder(s)** holding directly 3% or more voting rights, if different from 3.

5. Date on which threshold was crossed or reached: 27.09.2016

6. Total positions				
	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	total of both in % (7.a. + 7.b.)	total number of voting rights of issuer
Resulting situation	2.75%	0.04%	2.79%	49,444,834
Previous notification (if applicable)	3.07%	0.04%	3.11%	

#### 7. Notified details of the resulting situation

#### a. Voting rights attached to shares (Sec.s 21, 22 WpHG)

	absolute		in %		
ISIN	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)	
DE0005103006		1,357,777	%	2.75%	
			%	%	
Total	1,357,777		2.	75%	

## **b.1. Instruments according to Sec. 25 Abs. 1 Nr. 1 WpHG** (please use annex in case of more than 3 instruments)

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Shares on loan (right to recall)	N/A	at any time	20,304	0.04%
				%
				%
		Total	20,304	0.04%

## **b.2 Instruments according to Sec. 25 Abs. 1 Nr. 2 WpHG** (please use annex in case of more than 3 instruments)

,					
Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
					%
					%
					%
					%

## **8.** Information in relation to the person subject to the notification obligation (please tick the applicable box):

Person subject to the notification obligation is not controlled <u>and</u> does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

<u>X Full</u> chain of controlled undertakings starting with the ultimate controlling natural person or legal entity (please use annex in case of more than 4 undertakings; in this case please <u>always</u> provide <u>only</u> to BaFin also an organizational chart accompanying your notification):

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
State of Norway	%	%	%
Norges Bank	%	%	%
	%	%	%
	%	%	%

### 9. In case of proxy voting according to § 22 Abs. 3 WpHG

Date of general meeting:

Holding position after general meeting: % (equals voting rights)