

Correction of a notification published on 09.11.2016

☒ **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holding published on _____ (date of publication)

1. Details of issuer (name, address)

ADVA Optical Networking SE, Märzenquelle 1-3, 98617 Meiningen-Dreißigacker, Germany

2. Reason for notification (multiple reasons possible)

☒ Acquisition/disposal of shares with voting rights

Acquisition/disposal of instruments

Change of breakdown of voting rights

Other reason:

3. Details of person subject to the notification obligation

Name:	City and country of registered office (if applicable):
Ministry of Finance on behalf of the State of Norway	Oslo, Norway

4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3.

Norges Bank

5. Date on which threshold was crossed or reached: 04.11.2016

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	total of both in % (7.a. + 7.b.)	total number of voting rights of issuer
Resulting situation	3.71%	0.79%	4.50%	49,444,834
Previous notification (if applicable)	2.85%	0.48%	3.33%	

7. Notified details of the resulting situation				
a. Voting rights attached to shares (Sec.s 21, 22 WpHG)				
ISIN	absolute		in %	
	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)
DE0005103006		1,836,384	%	3.71%
			%	%
Total	1,836,384		3.71%	

b.1. Instruments according to Sec. 25 Abs. 1 Nr. 1 WpHG (please use annex in case of more than 3 instruments)				
Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Shares on loan (right to recall)	N/A	at any time	388,556	0.79%
				%
				%
Total			388,556	0.79%

b.2 Instruments according to Sec. 25 Abs. 1 Nr. 2 WpHG (please use annex in case of more than 3 instruments)					
Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
					%
					%
					%
Total					%

8. Information in relation to the person subject to the notification obligation (please tick the applicable box):			
<p>Person subject to the notification obligation is not controlled <u>and</u> does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).</p> <p><input checked="" type="checkbox"/> <u>Full</u> chain of controlled undertakings starting with the ultimate controlling natural person or legal entity (please use annex in case of more than 4 undertakings; in this case please <u>always</u> provide <u>only</u> to BaFin also an organizational chart accompanying your notification):</p>			
Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
State of Norway	%	%	%
Norges Bank	3.71%	%	%
	%	%	%
	%	%	%

9. In case of proxy voting according to § 22 Abs. 3 WpHG

Date of general meeting:

Holding position after general meeting: % (equals voting rights)