

DGAP Voting Rights Announcement: ADVA Optical Networking SE  
 ADVA Optical Networking SE: Release according to Article 26, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

09.11.2017 / 13:19

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.  
 The issuer is solely responsible for the content of this announcement.

## Notification of Major Holdings

### 1. Details of issuer

ADVA Optical Networking SE  
 Märzenquelle 1-3  
 98617 Meiningen-Dreissigacker  
 Germany

### 2. Reason for notification

X	Acquisition/disposal of shares with voting rights
	Acquisition/disposal of instruments
	Change of breakdown of voting rights
	Other reason:

### 3. Details of person subject to the notification obligation

Name:	City and country of registered office:
Deutsche Bank Aktiengesellschaft	Frankfurt Germany

### 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

### 5. Date on which threshold was crossed or reached:

02 Nov 2017

### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	total of both in % (7.a. + 7.b.)	total number of voting rights of issuer
Resulting situation	0.89 %	4.30 %	5.18 %	49684049

Previous notification	N/A %	N/A %	N/A %	/
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## 7. Notified details of the resulting situation

### a. Voting rights attached to shares (Sec.s 21, 22 WpHG)

ISIN	absolute		in %	
	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)
DE0005103006	440905	0	0.89 %	0.00 %
<b>Total</b>	440905		0.89 %	

### b.1. Instruments according to Sec. 25 para. 1 No. 1 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Right to Recall			1814715	3.65 %
		<b>Total</b>	1814715	3.65 %

### b.2. Instruments according to Sec. 25 para. 1 No. 2 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
Swaps	24.01.2022		Cash	320150	0.64 %
			<b>Total</b>	320150	0.64 %

## 8. Information in relation to the person subject to the notification obligation

X	Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).
	Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
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**9. In case of proxy voting according to Sec. 22 para. 3 WpHG**

Date of general meeting:	
Holding position after general meeting:	% (equals voting rights)

**10. Other explanatory remarks:**