

DGAP Voting Rights Announcement: ADVA Optical Networking SE  
Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

23.03.2018 / 21:20

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.

The issuer is solely responsible for the content of this announcement.

## Notification of Major Holdings

### 1. Details of issuer

ADVA Optical Networking SE  
Märzenquelle 1-3  
98617 Meiningen-Dreissigacker  
Germany

### 2. Reason for notification

<input type="checkbox"/>	Acquisition/disposal of shares with voting rights
<input type="checkbox"/>	Acquisition/disposal of instruments
<input type="checkbox"/>	Change of breakdown of voting rights
<input checked="" type="checkbox"/>	Other reason: Expiration of instruments (ISIN DE000DM5ZA16)

### 3. Details of person subject to the notification obligation

Name:	City and country of registered office:
Internationale Kapitalanlagegesellschaft mit beschränkter Haftung	Düsseldorf Germany

### 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

### 5. Date on which threshold was crossed or reached:

15 March 2018

### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	total of both in % (7.a. + 7.b.)	total number of voting rights of issuer
Resulting situation	3.93 %	0.97 %	4.90 %	49,735,549
Previous notification	3.56 %	1.57 %	5.13 %	/

### 7. Notified details of the resulting situation

#### a. Voting rights attached to shares (Sec.s 33, 34 WpHG)

ISIN	absolute		in %	
	direct (Sec. 33 WpHG)	indirect (Sec. 34 WpHG)	direct (Sec. 33 WpHG)	indirect (Sec. 34 WpHG)
DE0005103006	0	1956432	0 %	3.93 %
<b>Total</b>	1956432		3.93 %	

#### b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
				%
		<b>Total</b>		%

**b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG**

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
Reverse Convertible DE000DD1RWL4	23.03.2018		Both	413036	0.83 %
Discount Certificate DE000DM9R8Z5	19.06.2018		Both	70000	0.14 %
			<b>Total</b>	483036	0.97 %

**8. Information in relation to the person subject to the notification obligation**

X	Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).
	Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)

**9. In case of proxy voting according to Sec. 34 para. 3 WpHG**

Date of general meeting:	
Holding position after general meeting:	% (equals voting rights)

**10. Other explanatory remarks:**