DGAP Voting Rights Announcement: ADVA Optical Networking SE

Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

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## **Notification of Major Holdings**

#### 1. Details of issuer

ADVA Optical Networking SE Märzenquelle 1-3 98617 Meiningen-Dreissigacker Germany

#### 2. Reason for notification

X Acquisition/disposal of shares with voting rights
Acquisition/disposal of instruments
Change of breakdown of voting rights
Other reason:

#### 3. Details of person subject to the notification obligation

Name:	City and country of registered office			
Managan Stanlay	Wilmington, Delaware United States of America (USA)			
Morgan Staine	United States of America (USA)			

#### 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

## 5. Date on which threshold was crossed or reached:

26 March 2018

#### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)		in %	total number of voting
Resulting situation	3.08 %	6.05 %	9.13 %	49,735,549
Previous notification	1.37 %	0.26 %	1.63 %	/

## 7. Notified details of the resulting situation

#### a. Voting rights attached to shares (Sec.s 33, 34 WpHG)

ISIN	absolute		in %	
	direct	indirect	direct	indirect
	(Sec. 33 WpHG)	(Sec. 34 WpHG)	(Sec. 33 WpHG)	(Sec. 34 WpHG)
DE0005103006	0	1,529,978	0.00 %	3.08 %
Total	1,529	9,978	3.08	3 %

## b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Right of recall over securities lending agreements	at any time	at any time	3,010,931	
		Total	3,010,931	6.05 %

b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG

Type of	Expiration or	Exercise or	Cash or physical	Voting rights	Voting rights
instrument	maturity date	conversion period	settlement	absolute	in %
Retail Structured Product	02.02.2067	at any time	Cash	3	0.00001 %
			Total	3	0.00001 %

## 8. Information in relation to the person subject to the notification obligation

Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

X Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
Morgan Stanley	%	%	%
Morgan Stanley Capital Management, LLC	%	%	%
Morgan Stanley Domestic Holdings, Inc.	%	%	%
Morgan Stanley & Co. LLC	%	%	%
Morgan Stanley	%	%	%
Morgan Stanley International Holdings Inc.	%	%	%
Morgan Stanley International Limited	%	%	%
Morgan Stanley Investments (UK)	%	%	%
Morgan Stanley & Co. International plc	%	%	5.05 %
Morgan Stanley	%	%	%
Morgan Stanley Capital Management, LLC	%	%	%
Morgan Stanley Investment Management Inc.	%	%	%
Morgan Stanley	%	%	%
Morgan Stanley Capital Management, LLC	%	%	%
Morgan Stanley Domestic Holdings, Inc.	%	%	%
Morgan Stanley Smith Barney LLC	%	%	%

## 9. In case of proxy voting according to Sec. 34 para. 3 WpHG

Date of general meeting:	
Holding position after general meeting	% (equals voting rights)

# 10. Other explanatory remarks: