DGAP Voting Rights Announcement: ADVA Optical Networking SE Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

29.05.2018 / 17:21

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.

The issuer is solely responsible for the content of this announcement.

# **Notification of Major Holdings**

## 1. Details of issuer

ADVA Optical Networking SE Märzenquelle 1-3 98617 Meiningen-Dreissigacker Germany

## 2. Reason for notification

X Acquisition/disposal of shares with voting rights

Acquisition/disposal of instruments

Change of breakdown of voting rights

Other reason:

#### 3. Details of person subject to the notification obligation

Name:	City and country of registered office:
Internationale Kapitalanlagegesellschaft mit beschränkter	Düsseldorf
Haftung	Germany

#### 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

# 5. Date on which threshold was crossed or reached:

22 May 2018

#### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	through instruments	$7 a \pm$	total number of voting rights of issuer
Resulting situation	5.04 %	0.97 %	6.01 %	49,735,549
Previous notification	4.87 %	0.14 %	5.01 %	/

# 7. Notified details of the resulting situation

# a. Voting rights attached to shares (Sec.s 33, 34 WpHG)

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ISIN	absolute		in %	
	direct	indirect	direct	indirect
	(Sec. 33 WpHG) (Sec. 33 WpHG)	ec. 34 WpHG)	(Sec. 33 WpHG)	(Sec. 34 WpHG)
DE0005103006	0	2,505,336	0 %	5.04 %
Total	2,505,3	36	5.04	1 %

# b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG

Type of	Expiration or	Exercise or conversion	Voting rights	Voting rights
instrument	maturity date	period	absolute	in %
				%
		Total		%

## b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG

	Expiration or	Exercise or	Cash or	Voting	Voting
Type of instrument	maturity date	conversion	physical	rights	rights in
	maturny date	period	settlement	absolute	%
Discount Certificate DE000DM9R8Z5	19.06.2018	14.06.2018	Cash or physical settlement	90,000	0.18 %
Reverse Convertible			Cash or		
Bond DE000DD3PNB4	22.06.2018	15.06.2018	physical	145,960	0.29 %
			settlement		
Reverse Convertible			Cash or		
Bond DE000DD6FN54	20.03.2019	13.03.2019	physical settlement	107,400	0.22 %
Reverse Convertible			Cash or		
Bond DE000DD1EL45	24.08.2018	17.08.2018	physical settlement	105,000	0.21 %
Devence Convertible			Cash or		
Reverse Convertible Bond DE000DD5R4B8	26.04.2019	17.04.2019	physical	36,400	0.07 %
DOIIO DEUUUDDJK4D8			settlement		
			Total	484,760	0.97 %

#### 8. Information in relation to the person subject to the notification obligation

Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).
Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at	% of voting rights through instruments	Total of both (if at least
Iname	least held 3% or more)	(if at least held 5% or more)	held 5% or more)

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# 9. In case of proxy voting according to Sec. 34 para. 3 WpHG

Date of general meeting:	
Holding position after general meeting:	% (equals voting rights)

# **10. Other explanatory remarks:**